

## Public Securities Limited

Details of charges are listed as below :

Date : as effective on 1 January 2022

Item	Services	Charges
<b>A)</b>	<b>Trade-related Services</b>	
1)	Brokerage Commission*	* Transactions for the same stock could not be consolidated as a single trade if the orders are placed through different channels / * For odd lot trade order, it can only be executed via dealer and brokerage commission for such transactions will be charged accordingly.
	(a) Via Dealer	i) 0.20% (Below HK\$500,000.00, Minimum : HK\$100.00) ii) 0.15% (HK\$500,000.00 and above)
	(b) Via Internet Trading	0.08% of Transaction Amount (Minimum : HK\$38.00)
	(c) Via Mobile App Trading	0.08% of Transaction Amount (Minimum : HK\$38.00)
	(d) Account Executive	<b>Negotiable</b>
2)	Stamp Duty	0.130% of Transaction Amount (Roundup to the Nearest Integer)
3)	SFC Transaction Levy	0.0027% of Transaction Amount
4)	Trading Fee	0.005% of Transaction Amount
5)	CCASS Settlement Fee	0.006% of Transaction Amount (Minimum : HK\$3.00)
6)	FRC Transaction Levy	0.00015% of Transaction Amount (Rounded to the nearest cent)
7)**	Italian Financial Transactions Tax (Italian companies with market capitalization of more than Euro 500m and listed in HK)	0.20% (exceptionally increased to 0.22% for year 2013 only) Calculation: Net trade balance (positive) x Weighted average price of purchases x Tax rate <b>** Please note that PSL will charge 0.25% due to Forex Risk.</b>
<b>B)</b>	<b>Scrip Handling and Settlement-related Services</b>	
1)	SI Input Fee	HK\$1.00 per input
2)	SI Settlement Fee	0.002% (Minimum : HK\$2.00) of Transaction Amount
3)	Stock Deposit	NIL
4)	Physical Scrip Deposit Fee	NIL
5)	Physical Scrip Withdrawal Fee	HK\$10.00 per Board Lot
6)	Handling Charge for Settlement Instruction (Deliver of Shares Only)	0.05% on stock market value of previous trading day per transaction Minimum: HK\$50.00 Maximum: HK\$500.00
7)	Handling Charge for Investor Settlement Instruction (Deliver of Shares Only)	HK\$20.00 per transaction
8)	Transfer Deed Stamp Duty	HK\$5.00 (For Seller, On Each New Transfer Deed)
<b>C)</b>	<b>Account Maintenance</b>	
1)	Stock Balance or Account Confirmation Fee	HK\$100.00 per A/C
2)	Monthly Securities Statement Duplication	HK\$300.00 per Calendar Year / HK\$30.00 per Month
3)	Copy of Contract Note	Daily Statement: HK\$30.00 per trade day within 1 year, HK\$50.00 per trade day over 1 year Monthly Statement: HK\$30.00 per month within 1 year, HK\$50.00 per month over 1 year
4)	Trade Record Enquiry	HK\$20.00 per trade record
5)	Handling Charge for Bought & Sold Note	HK\$200.00 each time
6)	(Account holders must provide the signed and stamped Bought & Sold Note)	HK\$50.00 per stock / per transaction (Maximum : HK\$500.00)
<b>D)</b>	<b>Nominee Services and Corporate Actions</b>	
1)	Scrip Fee (on net increase in aggregate balance)	HK\$1.50 per Board Lot (For Ordinary Entitlement)
2)	Collection Fee of Cash Dividend	0.15% (Base on the Collected Amount)
3)	Dividend/Rights/Bonus/Warrant Issue Entitlement Charge	HK\$30.00 per Transaction
4)	Handling Charge for Rights Subscription	HK\$100.00 per Transaction (For Ordinary Entitlement) HK\$50.00 per stock per Transaction (Rights Buy from Market)
5)	Handling Charge for Warrants Exercise / Compulsory Acquisition / Privatisation	HK\$100.00 per Transaction
6)	Corporate Action Fee	HK\$0.80 per Board Lot
7)	Fee for Change of Registration at Share Registrar	HK\$5.00 per Board Lot (Minimum : HK\$50.00) Plus HK\$2.50 per share certificate of Share Registrar's charges are based on the greater number of certificate to be transferred or issued
<b>E)</b>	<b>Information Services</b>	
1)	Stock Price Quote (Internet & Mobile App)	AAStock Quote Winner HK\$394.00 per month One month advance fee is required
<b>F)</b>	<b>Interest Rate on Credit Balance</b>	
1)	Interest for Cash Balance	0%
<b>G)</b>	<b>Interest Rate and Penalty Charge for Late Payment</b>	
1)	Cash Custodian Account	Prime + 5%
2)	Cash Custodian Account Overdue Penalty Charge	HK\$300.00 (To be deducted with Overdue Interest from Client's PSL Securities Account)
3)	Margin Account	Prime + 2%
<b>H)</b>	<b>IPO Application</b>	
1)	Electronic application fee (whether using cash or margin financing)	HK\$50.00
2)	IPO Subscription Financing Interest	At prevailing market rate
<b>I)</b>	<b>Remittance Fee</b>	
1)	Remittance Charge	HK\$100.00 per item, plus HK\$150 Cable Charges per item & bank admin fee: - ) Bank Administration Fee ( 0.125% of remitted HKD amount, minimum HK\$70.00, maximum HK\$1,000.00 ) ; - ) Bank Administration Fee (HK\$70.00 per item for other currencies) (Note : Client shall bear all bank correspondence charges if required)

If you have any queries about the charges, please contact our settlement department for assistance. (Tel. No. 3929 2850)