

Public Securities Limited

Details of charges are listed as below :

Date : as effective on 1 January 2018

| Item | Services | Charges |
|---|--|--|
| A) Trade-related Services | | |
| 1) | Brokerage Commission* | * Transactions for the same stock could not be consolidated as a single trade if the orders are placed through different channels |
| | (a) Via Dealer | i) 0.20% (Below HK\$500,000.00, Minimum : HK\$100.00) ii) 0.15% (HK\$500,000.00 and above) |
| | (b) Via Internet Trading | 0.08% of Transaction Amount (Minimum : HK\$38.00) |
| | (c) Via Mobile App Trading | 0.08% of Transaction Amount (Minimum : HK\$38.00) |
| | (d) Account Executive | Negotiable |
| 2) | Transaction Levy | 0.0027% of Transaction Amount |
| 3) | Trading Fee | 0.005% of Transaction Amount |
| 4) | CCASS Settlement Fee | 0.006% of Transaction Amount (Minimum : HK\$3.00) |
| 5) | Stamp Duty | 0.100% (HK\$1.00 per every HK\$1,000.00 of Transaction Amount) |
| 6)** | Italian Financial Transactions Tax (Italian companies with market capitalization of more than Euro 500m and listed in HK) | 0.20% (exceptionally increased to 0.22% for year 2013 only) Calculation: Net trade balance (positive) x Weighted average price of purchases x Tax rate ** Please note that PSL will charge 0.25% due to Forex Risk. |
| B) Scrip Handling and Settlement-related Services | | |
| 1) | SI Input Fee | HK\$1.00 per input |
| 2) | SI Settlement Fee | 0.002% (Minimum : HK\$2.00) of Transaction Amount |
| 3) | Stock Deposit | NIL |
| 4) | Physical Scrip Deposit Fee | NIL |
| 5) | Physical Scrip Withdrawal Fee | HK\$10.00 per Board Lot |
| 6) | Handling Charge for Settlement Instruction (Deliver of Shares Only) | 0.05% on stock market value of previous trading day per transaction Minimum: HK\$50.00 Maximum: HK\$500.00 |
| 7) | Handling Charge for Investor Settlement Instruction (Deliver of Shares Only) | HK\$20.00 per transaction |
| 8) | Transfer Deed Stamp Duty | HK\$5.00 (For Seller, On Each New Transfer Deed) |
| C) Account Maintenance | | |
| 1) | Stock Balance or Account Confirmation Fee | HK\$100.00 per A/C |
| 2) | Monthly Securities Statement Duplication | HK\$300.00 per Calendar Year / HK\$30.00 per Month |
| 3) | Copy of Contract Note | Daily Statement: HK\$30.00 per trade day within 1 year, HK\$50.00 per trade day over 1 year Monthly Statement: HK\$30.00 per month within 1 year, HK\$50.00 per month over 1 year |
| 4) | Trade Record Enquiry | HK\$20.00 per trade record |
| 5) | Handling Charge for Bought & Sold Note | HK\$200.00 each time |
| 6) | Internal Stock Transfer to Another Account Holder (Account holders must provide the signed and stamped Bought & Sold Note) | HK\$50.00 per stock / per transaction (Maximum : HK\$500.00) |
| D) Nominee Services and Corporate Actions | | |
| 1) | Scrip Fee (on net increase in aggregate balance) | HK\$1.50 per Board Lot (For Ordinary Entitlement) |
| 2) | Collection Fee of Cash Dividend | 0.15% (Base on the Collected Amount) |
| 3) | Dividend/Rights/Bonus/Warrant Issue Entitlement Charge | HK\$30.00 per Transaction |
| 4) | Handling Charge for Rights Subscription | HK\$100.00 per Transaction (For Ordinary Entitlement) HK\$50.00 per stock per Transaction (Rights Buy from Market) |
| 5) | Handling Charge for Warrants Exercise / Compulsory Acquisition / Privatisation | HK\$100.00 per Transaction |
| 6) | Corporate Action Fee | HK\$0.80 per Board Lot |
| 7) | Fee for Change of Registration at Share Registrar | HK\$5.00 per Board Lot (Minimum : HK\$50.00) Plus HK\$2.50 per share certificate of Share Registrar's charges are based on the greater number of certificate to be transferred or issued |
| E) Information Services | | |
| 1) | Stock Price Quote (Internet & Mobile App) | AAStock Quote Winner HK\$394.00 per month One month advance fee is required |
| F) Interest Rate on Credit Balance | | |
| 1) | Interest for Cash Balance | 0% |
| G) Interest Rate and Penalty Charge for Late Payment | | |
| 1) | Cash Custodian Account | Prime + 5% |
| 2) | Cash Custodian Account Overdue Penalty Charge | HK\$300.00 (To be deducted with Overdue Interest from Client's PSL Securities Account) |
| 3) | Margin Account | Prime + 2% |
| H) IPO Application | | |
| 1) | Electronic application fee without margin financing | HK\$50.00 |
| 2) | Electronic application fee with margin financing | HK\$80.00 (the interest rate for IPO margin financing will be case by case) |
| 3) | IPO Subscription Financing Interest | At prevailing market rate |
| I) Remittance Fee | | |
| 1) | Remittance Charge | HK\$300.00 each time , plus Bank Administration Fee (0.125% of remitted amount, minimum HK\$70.00, maximum HK\$1,000.00), and client shall bear all bank correspondence charges |

If you have any queries about the charges, please contact our settlement department for assistance. (Tel. No. 3929 2850)