

To: Public Securities Limited

致: 大眾証券有限公司

Room 1101-3 Wing On House, 71 Des Voeux Road Central, Hong Kong

香港德輔道中71號永安集團大廈1101-3室

Account No.
戶口號碼

附表 1B Schedule 1B

Registered with the Securities and Futures Commission as a securities dealer (CE No. AAZ165) and an exchange participant of The Stock Exchange of Hong Kong Limited.

為證券及期貨事務監察委員會註冊的證券商 (中央編號: AAZ165) 以及香港聯合交易所有限公司參與者。

ACCOUNT APPLICATION FORM 戶口申請表格 – For Corporate Account 公司戶口適用

- Note 注意:
- Please complete in Block Letters and tick where applicable. 請用正楷填寫, 並在適當地方加上剔號。
 - *Please delete whichever is not appropriate and mark "N/A" on the unfilled spaces. *請刪去不適用者, 並在不適用者填上"N/A"。

1. Account Type 戶口類別

(✓ one or more) (可✓超過一項):	
<input type="checkbox"/> Securities - Cash Account 證券現金戶口	<input type="checkbox"/> Securities - Margin Account 證券保證金戶口
Total number of Account(s) opened 開戶總數:	
Cash/Margin Account(s) require Internet & Mobile App trading service? 現金/保證金戶口選用互聯網及流動電話應用程式交易服務? <input type="checkbox"/> Yes 是 <input type="checkbox"/> No 否	

2. Corporate Information 公司資料

Company Name 公司名稱:		Name in Chinese (if any) 中文名稱 (如有):		
Registered no. of country of Incorporation/establishment 註冊/成立國家註冊號碼: (Please enclose copy 請附副本)		Country of Incorporation 註冊/成立國家:		
Nature of Business 業務性質	No. of years in the Business 經營業務年期	Business registration no. in Hong Kong 香港商業登記號碼	Date of Incorporation (dd/mm/yyyy) 註冊成立日期 (日/月/年):	
Registered Business Address 註冊營業地址: (No P.O. Box Please 請勿填上郵政信箱)			TIN 稅務編號:	
Country 國家:		Website 網址 http://		
Designated Contact 指定聯絡人 Name 姓名	Position 職位	Office Telephone 辦事處電話號碼	Fax 傳真	Email 電郵
1)				
2)				
3)				
Correspondence Address 通訊地址 (if different from above 若與上述地址不同) <input type="checkbox"/> Office Address 辦事處地址 <input type="checkbox"/> Other 其他 _____				Language Selection 語言選擇 <input type="checkbox"/> English <input type="checkbox"/> 中文
Deliver Combined Daily Statement and Contract Notes to 綜合結單及成交單據送交 <input type="checkbox"/> By mail to Correspondence Address 郵寄至通訊地址 <input type="checkbox"/> By Internet to the above email address 以互聯網送至以上電郵地址 1 / 2 / 3 *				

Current Year Company Account Summary 現時公司財務資料簡要 (Year 年度 _____)

Net Profit (Estimate) 經營溢利 (估計)	
Total Assets (Estimate) 總資產 (估計)	
Total Liabilities (Estimate) 總負債 (估計)	
Total Net Asset Value 資產淨值	
Investment Objective and Experience 投資目的及經驗	
Investment Objective 投資目的	<input type="checkbox"/> Hedging 對沖 <input type="checkbox"/> Capital Gain 資本增值 <input type="checkbox"/> Dividend Income 股息收入 <input type="checkbox"/> Long Term Growth 長線投資 <input type="checkbox"/> Others 其他 _____
Experience in Products 曾投資產品	<input type="checkbox"/> Shares 股票 <input type="checkbox"/> Futures 期貨/Options 期權 <input type="checkbox"/> Forex 外匯/Bullion 黃金 <input type="checkbox"/> Bonds 債券/Funds 基金 <input type="checkbox"/> Others 其他 _____
Experience in Investment 投資經驗	<input type="checkbox"/> Less than 1 year 少於一年 <input type="checkbox"/> 1 - 5 Years 年 <input type="checkbox"/> 6 - 10 Years 年 <input type="checkbox"/> Over 10 Years 超過十年

3. Settlement Arrangement 結算安排

Bank Name 銀行名稱	Bank Account No 銀行戶口	Account Type 戶口類別
Standing Instruction for Cash proceeds from the sale of Securities/Dividends received 股票賣出款項/股息處理指示 <input type="checkbox"/> Deposit to bank a/c in Hong Kong above 存入以上香港銀行戶口 (only for Hong Kong bank account 只限香港銀行戶口) <input type="checkbox"/> Safe Custody until notice 信託保管直至另行通知 (Please provide copy of the passbook or copy of bank account statement as proof 請提供存摺副本或銀行結單副本以便證明)		

4. Beneficiary 最終擁有人

Name of Ultimate Beneficial Owner(s) of the Account 戶口最終實益擁有人

Name 名稱：_____

Yes 是

Address 地址：_____

No (Please state name and address) 否 (請列明姓名及地址)

*ID/Passport No. *身份證/護照號碼：_____

Place of issue 簽發地點：_____

TIN 稅務編號：_____

5. Related Account(s) 關連戶口

1. Are you one of the following persons or their relatives or trustee: shareholder/director (present or in past 12 months)/employee of the Public Bank Group (Public Bank Berhad or any of its subsidiary companies)?

閣下是否大眾銀行集團(馬來西亞大眾銀行或旗下任何附屬機構)的股東/董事(現任或過去12個月曾任)/僱員等人士，或該等人士的親屬或受託人?

Yes. (If yes, please provide the following information) 是。(如是，請填上以下資料)：

Company Name: (公司名稱：) _____ Full Name: (姓名：) _____ Relationship: (關係：) _____

No, I/we confirm that I am/we are not any of the above persons and I/we undertake to notify the company promptly should my/our status change, i.e. I/we become one of the above persons. 否，本人(等)確認本人(等)並非上述任何人士，倘若日後本人(等)身份有變，即本人(等)成為上述任何人士，本人(等)承諾會儘快知會貴公司。

2. Is any shareholder(s) of the client controlled 35% or above of the voting right of the client who maintain(s) account with Public Securities Limited?

在客戶的股東名單中，是否有任何控制35%投票權的股東在大眾證券有限公司持有戶口?

Yes 是 No 否

If Yes, account details of the shareholder(s) are 如是，股東之戶口資料如下：

Name of A/C Holder 戶口持有人名稱：

A/C No. 戶口號碼：

6. Other Account(s) 其他戶口

Does the Client controlled 35% or above of the voting rights of any client of Public Securities Limited?

客戶是否控制大眾證券有限公司任何客戶的35%或以上之投票權?

Yes 是 No 否

If Yes, details of Client's related accounts with the company are 如是，客戶於大眾證券有限公司持有的其他關連戶口資料如下：

Account Name 戶口名稱	Account No 戶口號碼	Account Type 戶口類別	Relationship 關係：

7. Other Disclosure 其他披露

Is the Client, Client's director, shareholders or authorized persons, licensed by or registered with the SFC?

客戶、客戶之董事、股東、或授權人是否證監會之持牌或註冊人士?

Yes 是 No 否

If Yes, please provide 如是，請提供資料如下：

Name of Licensed/ Registered Person 持牌或註冊人名稱：_____

Address of Licensed/ Registered Person 持牌或註冊人地址：_____

Consent Letter obtained from employer for the opening of the Account: 是否已接獲其僱主對開立戶口的同意書? Yes 是 No 否

8. Declaration and Signature 聲明及簽署

1. I/We confirm that the information given above is correct and complete, and authorize you to confirm this from any source you consider appropriate, including the conduct of any credit checks on me/us.

本人(等)證實上述資料乃屬正確及完整，並授權貴公司可向任何方面查證。

2. I/We confirm that I/we have read and understood the Agreement(s) for the securities trading account applicable to me/us including the following:

本人(等)證實已參閱及明白有關之證券交易戶口使用條款，包括：

■ this Account Application Form 本戶口申請表

■ the Client Agreement 客戶協議

■ any other supplements which may be entered into in writing between the Company and me/us from time to time, including any letters of authorization relating to securities margin financing and other written statements in compliance to statutory requirements.

任何由本人(等)與貴公司不時訂立之書面補充條款，包括任何有關證券保證金戶口之抵押品授權書及其他因應法例要求而作出的書面陳述。

3. I/We hereby certify, declare and acknowledge that I/we have fully understood the risk disclosure statement in the language of my/our choice. I/We have been invited to read the risk disclosure statement, and to ask questions and take independent advice.

本人(等)特此接納使用條款。貴公司有權於十四日通知期後更改使用條款，除非貴公司收到本人通知取消戶口通知，否則表示本人(等)接納該更改條款。

4. I/We hereby certify, declare and acknowledge that I/we have fully understood the risk disclosure statement in the language of my/our choice. I/We have been invited to read the risk disclosure statement, and to ask questions and take independent advice.

本人(等)現聲明及確認已獲得提供按照本人(等)選擇的語言之風險披露聲明書，本人(等)已獲邀閱讀此風險披露聲明，提出問題及徵求獨立意見。

5. I/We understand that the account is subject to your final acceptance.

本人(等)明白貴公司有最終接納開戶與否之權利。

Signed by 簽署：

X

Authorized Signature 授權簽名 (with Company Chop 附公司圖章)

Date 日期：

